SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

ection 16(a) of the Securities Exchange Act of 1934

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 19	934
or Section 30(h) of the Investment Company Act of 1940	

1. Name and Address of Reporting Person [*] Mercantil Servicios Financieros, C.A.			2. Issuer Name and Ticker or Trading Symbol <u>Mercantil Bank Holding Corp</u> [AMTB]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner						
(Last)				3. Date of Earliest Transaction (Month/Day/Year) 12/21/2018									Director Officer (give title below)		0% O Other (below)		
AV. FCO. DE MIRANDA, LOS PALOS GRANDES, CC EL PARQUE, SUITES C-3-10 AND C-3-11			,	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indiv X	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(Street) CARACAS	X5												Form file	d by More	than On	e Reportir	ng Person
(City)	(State)	(Z	ip)														
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																	
Date				saction /Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				and 5) Securities Beneficial Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
						Code	v	Amount		(A) or (D)	Price		Transaction(s) (Instr. 3 and 4)			(Instr. 4)	
Class A Common Stock ⁽¹⁾⁽²⁾ 12/2				1/2018		S		4,922,47	77 D		\$13	0		D			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of	2.	3. Transaction	3A. Deemed	4	5. Number of 6. Date Exercisable and 7. Title and Amo				mount of	8. Price of	9. Numbe	er of 1	0.	11. Nature			

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	 3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Securities Un Derivative Se (Instr. 3 and 4	derlying curity	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)			

Explanation of Responses:

1. Effective October 23, 2018, the Issuer split its outstanding Class A and Class B common stock on a one-for-three basis (the "stock split"). As a result of the Issuer's class A common stock and every three outstanding shares of the Issuer's Class A common stock were combined into only one share of the Issuer's Class A common stock and every three outstanding shares of the Issuer's Class B common stock were combined into only one share of the Issuer's Class B common stock. The amount of securities reported on this Form 4 have been adjusted to reflect the stock split. All future Form 4 and Form 5 filings will reflect the stock split.

2. Mercantil Servicios Financieros, C.A. sold 4,922,477 shares of Class A common stock of Mercantil Bank Holding Corporation in connection with Mercantil Bank Holding Corporation's initial public offering, which closed on December 21, 2018.

Remarks:

/s/ Gustavo J. Vollmer A., Chairman and Chief Executive 12 Officer ** Signature of Reporting Person Da

12/27/2018

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.