The Securities and Exchange Commission has not necessarily reviewed the information in this filing and has not determined if it is accurate and complete.

The reader should not assume that the information is accurate and complete.

### **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

### **FORM D**

# OMB APPROVAL OMB Number: 3235-0076 Estimated average burden hours per response: 4.00

## **Notice of Exempt Offering of Securities**

1. Issuer's Identity			
CIK (Filer ID Number)	Previous Names	None	Entity Type
0001734342	Mercantil Ba	ak Holding	X Corporation
	Corp	ik Holding	
Name of Issuer			Limited Partnership
Amerant Bancorp Inc.	ranization		Limited Liability Company
Jurisdiction of Incorporation/Org	janization		General Partnership
FLORIDA	ian.		
Year of Incorporation/Organizat	ion		Business Trust
X Over Five Years Ago			Other (Specify)
Within Last Five Years (Spec	cify Year)		_
Yet to Be Formed			
2. Principal Place of Business	and Contact Information		
Name of Issuer			
Amerant Bancorp Inc.			
Street Address 1		Street Address 2	
220 Alhambra Circle			
City	State/Province/Country	ZIP/PostalCode	Phone Number of Issuer
Coral Gables	FLORIDA	33134	305-460-8728
3. Related Persons			
Last Name	First Name		Middle Name
Copeland	Frederick		C.
Street Address 1	Street Address 2		
220 Alhambra Circle			
City	State/Province/Co	untry	ZIP/PostalCode
Coral Gables	FLORIDA	•	33134
Relationship: Executive Office	er X Director Promoter		
Clarification of Response (if Nec	essary):		
Last Name	First Name		Middle Name
Wilson	Millar		Mado Namo
Street Address 1	Street Address 2		
220 Alhambra Circle	Circuit Address 2		
City	State/Province/Co	untry	ZIP/PostalCode
Coral Gables	FLORIDA	<i>-</i>	33134
_	er X Director Promoter		55151
Clarification of Response (if Nec	essary):		
Last Name	First Name		Middle Name
Villar	Guillermo		
Street Address 1	Street Address 2		
220 Alhambra Circle			
City	State/Province/Co	untry	ZIP/PostalCode
Coral Gables	FLORIDA		33134
Relationship: Executive Office	er X Director Promoter		
Clarification of Response (if Nec	essary):		

Last Name	First Name	Middle Name
Vollmer	Gustavo	
Street Address 1	Street Address 2	
220 Alhambra Circle		
City	State/Province/Country	ZIP/PostalCode
Coral Gables	FLORIDA	33134
Relationship: Executive Officer X Director	Promoter	
Clarification of Response (if Necessary):		
Last Name	First Name	Middle Name
Capriles	Miguel	A
Street Address 1	Street Address 2	
220 Alhambra Circle		
City	State/Province/Country	ZIP/PostalCode
•	•	
Coral Gables	FLORIDA	33134
Relationship: Executive Officer X Director	Promoter	
Clarification of Response (if Necessary):		
Last Name	First Name	Middle Name
Dana, Ph.D	Pamella	J.
Street Address 1	Street Address 2	
	Street Address 2	
220 Alhambra Circle		
City	State/Province/Country	ZIP/PostalCode
Coral Gables	FLORIDA	33134
Relationship: Executive Officer X Director	Promoter	
	1 101110101	
Clarification of Response (if Necessary):		
Last Name	First Name	Middle Name
Plush	Jerry	
Street Address 1	Street Address 2	
220 Alhambra Circle		
	State/Bravings/Country	ZIP/PostalCode
City	State/Province/Country	
Coral Gables	FLORIDA	33134
Relationship: X Executive Officer X Director	Promoter	
Clarification of Response (if Necessary):		
Last Name	First Name	Middle Name
Marturet	Gustavo	a.io
Street Address 1	Street Address 2	
220 Alhambra Circle		
City	State/Province/Country	ZIP/PostalCode
Coral Gables	FLORIDA	33134
Relationship: Executive Officer X Director	Promoter	
Clarification of Response (if Necessary):		
	F: AN	Maria N
Last Name	First Name	Middle Name
Quill	John	W.
Street Address 1	Street Address 2	
220 Alhambra Circle		
City	State/Province/Country	ZIP/PostalCode
	•	
Coral Gables	FLORIDA	33134
Relationship: Executive Officer X Director	Promoter	
Clarification of Response (if Necessary):		
Last Name	First Name	Middle Name
		WILLIAM INC.
Capriles	Alberto	
Street Address 1	Street Address 2	
220 Alhambra Circle		
City	State/Province/Country	ZIP/PostalCode

Coral Gables	FLORIDA	33134
Relationship: X Executive Officer Directo	r Promoter	
Clarification of Response (if Necessary):		
Last Name	First Name	Middle Name
Iafigliola	Carlos	
Street Address 1	Street Address 2	
220 Alhambra Circle	5110517 (dd1000 2	
City	State/Province/Country	ZIP/PostalCode
Coral Gables	FLORIDA	33134
		33134
Relationship: X Executive Officer Directo	r Promoter	
Clarification of Response (if Necessary):		
Last Name	First Name	Middle Name
Palacios	Miguel	
Street Address 1	Street Address 2	
220 Alhambra Circle		
City	State/Province/Country	ZIP/PostalCode
Coral Gables	FLORIDA	33134
Relationship: X Executive Officer Directo	r Promoter	
Clarification of Response (if Necessary):		
4. Industry Group		
Agriculture	Health Care	Retailing
Banking & Financial Services	Biotechnology	
X Commercial Banking		Restaurants
	Health Insurance	Technology
Insurance	Hospitals & Physicians	Computers
Investing	Tiospitais & Titysicians	Computers
Investment Banking	Pharmaceuticals	Telecommunications
Pooled Investment Fund	Пан и и а	
Pooled investment Fund	Other Health Care	Other Technology
Is the issuer registered as	Manufacturing	Travel
an investment company under the Investment Company	Real Estate	Airlines & Airports
Act of 1940?		
Yes No	Commercial	Lodging & Conventions
	Construction	Tourism & Travel Services
Other Banking & Financial Services		
Business Services	REITS & Finance	Other Travel
Energy	Residential	Other
Coal Mining	Пол в тетт	
	Other Real Estate	
Electric Utilities		
Energy Conservation		
Environmental Services		
Oil & Gas		
Other Energy		
5. Issuer Size		
Revenue Range OR	Aggregate Net A	Asset Value Range
No Revenues	No Aggregate	Net Asset Value
\$1 - \$1,000,000	\$1 - \$5,000,0	
片	<b>=</b>	
\$1,000,001 - \$5,000,000	\$5,000,001 -	
\$5,000,001 - \$25,000,000	<b>=</b>	\$50,000,000
\$25,000,001 - \$100,000,000  Over \$100,000,000	\$50,000,001	- \$100,000,000
		υ,υυυ

X Decline to Disclose	Decline to Disclose		
Not Applicable	Not Applicable		
6. Federal Exemption(s) and Exclusion(s) Claimed (se	alect all that anniv)		
o. rederal Exemption(s) and Exclusion(s) Glaimed (se	sect an that apply)		
	Investment Company	y Act Section 3(c)	
Rule 504(b)(1) (not (i), (ii) or (iii))	Section 3(c)(1)	Section 3(c)(9)	
Rule 504 (b)(1)(i)	Section 3(c)(2)	Section 3(c)(10)	
Rule 504 (b)(1)(ii)	Section 3(c)(3)	Section 3(c)(11)	
Rule 504 (b)(1)(iii)	Section 3(c)(4)	Section 3(c)(12)	
X Rule 506(b)			
Rule 506(c)	Section 3(c)(5)	Section 3(c)(13)	
Securities Act Section 4(a)(5)	Section 3(c)(6)	Section 3(c)(14)	
	Section 3(c)(7)		
7. Type of Filing			
X New Notice Date of First Sale 2022-03-09 First Sa	la Vat ta Occur		
Amendment	le rei lo Occui		
8. Duration of Offering			
Does the Issuer intend this offering to last more than one	year? Yes X No		
9. Type(s) of Securities Offered (select all that apply)			
Equity	ПР	Pooled Investment Fund Interests	
X Debt	П̄т	enant-in-Common Securities	
Option, Warrant or Other Right to Acquire Another Sec	curity N	Mineral Property Securities	
Security to be Acquired Upon Exercise of Option, War Acquire Security	rant or Other Right to	Other (describe)	
10. Business Combination Transaction			
Is this offering being made in connection with a business or exchange offer?	combination transaction, su	uch as a merger, acquisition Yes X No	
Clarification of Response (if Necessary):			
11. Minimum Investment			
Minimum investment accepted from any outside investor	\$100,000 USD		
12. Sales Compensation			
Recipient	Recipient C	RD Number None	
Piper Sandler& Co.	665		
(Associated) Broker or Dealer None	(Associated	d) Broker or Dealer CRD Number None	
Piper Sandler & Co.	665		
Street Address 1	Street Addre	ess 2	
800 Nicollet Mall City	State/Provin	nce/Country	ZIP/Postal Code
Minneapolis	MINNESOTA	•	55402-7020
State(s) of Solicitation (select all that apply) Check "All States" or check individual States	es Foreign/r	non-US	
FLORIDA GEORGIA WANGAG			
OHIO TEXAS			

13. Offering and Sales Amounts

Total Offering Amount	\$30,000,000 USD or Indefinite
Total Amount Sold	\$30,000,000 USD
Total Remaining to be Sold	\$0 USD or Indefinite
Clarification of Response (if	Necessary):
14. Investors	
	e offering have been or may be sold to persons who do not qualify as accredited investors, and enter the number of estors who already have invested in the offering.
	securities in the offering have been or may be sold to persons who do not qualify as accredited investors, enter the s who already have invested in the offering:
15. Sales Commissions &	Finder's Fees Expenses
Provide separately the amount of the archeck the box next to the archeck the box next to the archeck the archeck the second of t	unts of sales commissions and finders fees expenses, if any. If the amount of an expenditure is not known, provide an estimate and nount.
Sales Comm	nissions \$525,000 USD Estimate
Finder	rs' Fees \$0 USD Estimate
Clarification of Response (if	Necessary):
16. Use of Proceeds	
	ross proceeds of the offering that has been or is proposed to be used for payments to any of the persons required to be named as or promoters in response to Item 3 above. If the amount is unknown, provide an estimate and check the box next to the amount.
	\$0 USD Estimate
Clarification of Response (if	Necessary):
Signature and Submissior	1
Diagon woulded the informati	ion you have entered and review the Tarme of Cubminsion below before similar and clinical CUDMIT below to file this

Please verify the information you have entered and review the Terms of Submission below before signing and clicking SUBMIT below to file this notice.

#### Terms of Submission

In submitting this notice, each issuer named above is:

- Notifying the SEC and/or each State in which this notice is filed of the offering of securities described and undertaking to furnish them, upon written request, in the
  accordance with applicable law, the information furnished to offerees.\*
- Irrevocably appointing each of the Secretary of the SEC and, the Securities Administrator or other legally designated officer of the State in which the issuer maintains its principal place of business and any State in which this notice is filed, as its agents for service of process, and agreeing that these persons may accept service on its behalf, of any notice, process or pleading, and further agreeing that such service may be made by registered or certified mail, in any Federal or state action, administrative proceeding, or arbitration brought against the issuer in any place subject to the jurisdiction of the United States, if the action, proceeding or arbitration (a) arises out of any activity in connection with the offering of securities that is the subject of this notice, and (b) is founded, directly or indirectly, upon the provisions of:
  (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these statutes, or (ii) the laws of the State in which the issuer maintains its principal place of business or any State in which this notice is filed.
- Certifying that, if the issuer is claiming a Regulation D exemption for the offering, the issuer is not disqualified from relying on Rule 504 or Rule 506 for one of the reasons stated in Rule 504(b)(3) or Rule 506(d).

Each Issuer identified above has read this notice, knows the contents to be true, and has duly caused this notice to be signed on its behalf by the undersigned duly authorized person.

For signature, type in the signer's name or other letters or characters adopted or authorized as the signer's signature.

Issuer	Signature	Name of Signer	Title	Date
Amerant Bancorp Inc.	/s/ Ivan Trujillo	Ivan Trujillo	EVP Chief Legal Officer and Corporate Secretary	2022-03-16

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

<sup>\*</sup> This undertaking does not affect any limits Section 102(a) of the National Securities Markets Improvement Act of 1996 ("NSMIA") [Pub. L. No. 104-290, 110 Stat. 3416 (Oct. 11, 1996)] imposes on the ability of States to require information. As a result, if the securities that are the subject of this Form D are "covered securities" for purposes of NSMIA, whether in all instances or due to the nature of the offering that is the subject of this Form D, States cannot routinely require offering materials under this undertaking or otherwise and can require offering materials only to the extent NSMIA permits them to do so under NSMIA's preservation of their anti-fraud authority.