## UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

## FORM 8-K

### CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): July 22, 2022

# **ΛΜΕRΛΝΤ**

Amerant Bancorp Inc.

(Exact name of registrant as specified in its charter)

Florida (State or other jurisdiction of incorporation

220 Alhambra Circle Coral Gables, Florida (Address of principal executive offices) 001-38534 (Commission file number) 65-0032379 (IRS Employer Identification Number)

> 33134 (Zip Code)

(305) 460-8728 (Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

□ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

□ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbols	Name of exchange on which registered
Class A Common Stock	AMTB	NASDAQ

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company  $\square$ 

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

#### Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On July 22, 2022, Amerant Bancorp Inc. (the "Company") and its subsidiary, Amerant Bank, N.A., a national banking association (the "Bank"), provided Carlos Iafigliola, Executive Vice-President and Chief Financial Officer with formal notice of non-renewal of his current employment agreement (the "Employment Agreement"). The Employment Agreement will terminate on March 16, 2023 (the "Effective Date"). This notice does not affect Mr. Iafigliola's current employment with the Company, and after the Effective Date, Mr. Iafigliola is expected to continue to serve as Executive Vice-President and Chief Financial Officer of the Bank.

The Company and the Bank intend to enter into a Change in Control Agreement and a Restrictive Covenant Agreement with Mr. Iafigliola as of the Effective Date. The Change in Control Agreement will provide Mr. Iafigliola with certain compensation and benefits in the event of a qualifying termination under the Change in Control Agreement. The Restrictive Covenant Agreement will include customary intellectual property, non-solicitation, non-compete and confidentiality provisions. The Company will file a current report on Form 8-K upon entering into such a Change in Control Agreement and a Restrictive Covenant Agreement with Mr. Iafigliola at that time.

### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: July 27, 2022

Amerant Bancorp Inc.

By:

/s/ Julio V. Pena

Name: Julio V. Pena Title: Senior Vice President, Securities Counsel and Assistant Corporate Secretary