SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPRO	/AL
OMB Number:	3235-0287
Estimated average burden	
hours per response:	0.5

1. Name and Address of Reporting Person* Martin Silvio Marshall					2. Issuer Name and Ticker or Trading Symbol Amerant Bancorp Inc. [ AMTB ]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last)	(First)	(M	liddle)		3. Date of Earliest Transaction (Month/Day/Year) 02/16/2023							x	Officer (give title below)			Other (specify below)	
C/O AMERANT BANCORP INC.														See re	emarks		
220 ALHAMBRA CR.				4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)							
(Street)											X Form filed by One Reporting Person Form filed by More than One Reporting Person						
CORAL GABLES FL 33134												Form life	a by wore	than One	Reportin	g Person	
(City)	(State)	) (Z	ip)														
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																
Date					saction 2A. Deemed 3. Execution Date, 1ransaction if any (Month/Day/Year) 8)								5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership
							Code	v	Amount		(A) or (D)	Price	(Instr. 3 and 4)				(Instr. 4)
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution Date,		ansaction ode (Instr.	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)		vnership rm: rect (D) Indirect (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	

## Explanation of Responses:

1. Each restricted stock unit is the economic equivalent of one share of Class A Common Stock.

02/16/2023

2. On February 16, 2023, Mr. Martin was awarded 2,500 restricted stock units under the 2023-2025 Long Term Incentive Plan, a sub-plan of the 2018 Equity and Incentive Compensation Plan. Each restricted stock unit represents the right to receive, following vesting, one share of Class A common stock. The award vests in substantially equal installments on each of the first three anniversaries of the date of grant, provided that Mr. Martin remains in the continuous service of the Company or a subsidiary through each such date.

Date

Exercisable

(2)

(D)

2.500

Expiration

(2)

Title

Class A

Commor

Stock

Date

## Remarks:

Restricted Stock

Units LTI 2023

EVP - Chief Administrative and Legal Officer

\$0.0<sup>(1)</sup>

/s/ Julio V. Pena, as Attorney-in-Fact for Silvio Marshall Martin \*\* Signature of Reporting Person

Amount

Number

of Shares

2.500

\$<mark>0</mark>

02/21/2023

(Instr. 4)

2,500

D

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

v (A)

Code

A