SEC Form 3

FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

or section so(n) or the investment Company Act or 1940									
1. Name and Add Sanchez M	dress of Reporting ariola		2. Date of Event R Statement (Month/ 01/18/2023		3. Issuer Name and Ticker or Trading Symbol Amerant Bancorp Inc. [ AMTB ]				
(Last) (First) (Middle)				4. Relationship of Reporting Person(s (Check all applicable) Director	10% Owner Other (specify below)		5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting		
220 ALHAMBRA CR. (Street)									
CORAL GABLES	FL	33134						Person	
(City)	(State)	(Zip)							
Table I - Non-Derivative Securities Beneficially Owned									
1. Title of Security (Instr. 4)					. Amount of Securities leneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)	
Class A Common Stock					11,833.75(1)	D			
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)									
Expirat			2. Date Exer Expiration D (Month/Day/	ate	3. Title and Amount of Securities Derivative Security (Instr. 4)	Underlying	4. Conversion or Exercise	e (D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Indirect (I) (Instr. 5)	

Explanation of Responses:

1. Includes 5,402 shares of unvested restricted stock awarded to Mrs. Sanchez consisting of 2,090 shares of restricted stock that correspond to the remaining unvested shares of a 3,134 restricted stock award granted to Mrs. Sanchez on February 16, 2021 under the 2021-2023 Long Term Incentive Plan; 1,500 shares of restricted stock that correspond to an award granted to Mrs. Sanchez on February 16, 2022 under the 2022-2024 Long Term Incentive Plan; and 1,812 shares of restricted stock that correspond to an award granted to Mrs. Sanchez on June 15, 2022 in connection with her promotion to Chief People Officer. All of these awards vest in substantially equal installments on each of the first three anniversaries of the date of grant, provided that Mrs. Sanchez remains in the continuous service of the Company or a subsidiary through each such date.

## Remarks:

Mrs. Mariola Sanchez, Executive Vice-President and Chief People Officer, was appointed by the Board of Directors as member of the Issuer's Executive Management Committee on January 18, 2023. A power of attorney for Section 16 reporting obligations is attached as Exhibit 24.

<u>/s/ Julio V. Pena, as Attorney-in-</u> <u>Fact for Mariola Sanchez</u> <u>01/26/2023</u>

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

### LIMITED POWER OF ATTORNEY FOR SECTION 13 AND SECTION 16 REPORTING

KNOW ALL PERSONS BY THESE PRESENTS, that the undersigned hereby makes, constitutes and appoints Jerry Plush, Carlos Iafigliola, Marshall Martin, Ivan Trujillo, and Julio Pena, of Amerant Bancorp

1. Prepare, execute and submit to the Securities and Exchange Commission ("SEC"), any national securities exchange or securities quotation system and the Company any and all reports (including an 2. Obtain, as the undersigned's representative and on the undersigned's behalf, information regarding transactions in the Company's equity securities from any third party, including the Company a

The undersigned acknowledges that:

(a) This Limited Power of Attorney authorizes, but does not require, the Attorney-in-Fact to act at his or her discretion on information provided to such Attorney-in-Fact without independent veri (b) Any documents prepared or executed by the Attorney-in-Fact on behalf of the undersigned pursuant to this Limited Power of Attorney will be in such form and will contain such information as th (c) Neither the Company nor the Attorney-in-Fact assumes any liability for the undersigned's responsibility to comply with the requirements of Section 13 or Section 16 of the Exchange Act, any li (d) This Limited Power of Attorney does not relieve the undersigned from responsibility for compliance with the undersigned's obligations under Section 13 or Section 16 of the Exchange Act, inclu The undersigned hereby grants to the Attorney-in-Fact full power and authority to do and perform each and every act and thing requisite, necessary or convenient to be done in connection with the This Limited Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 4 or 5 or Schedule 13D or 13G with respect to the undersigned's hold IN WITNESS WHEREOF, the undersigned has executed this Limited Power of Attorney as of January 19, 2023.

/s/ Mariola Sanchez

(Signature) Name: Mariola Sanchez Title: Executive Vice-President and Chief People Officer