SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * <u>Holroyd Samantha</u>						2. Issuer Name and Ticker or Trading Symbol <u>Amerant Bancorp Inc.</u> [ AMTB ]										onship of Reporting Person all applicable) Director		(s) to Issuer 10% Ov	vner
(Last)	(First)	, , ,			3. Date of Earliest Transaction (Month/Day/Year) 06/09/2022										Officer (give title below)			Other (specify below)	
C/O AMERANT BANCORP INC. 220 ALHAMBRA CR.					4. lf .	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(Street) CORAL GABLES FL 33134															Form filed	d by More	than C	ne Reportin	g Person
(City)	(State)	) (Z	ip)																
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Date				nsaction th/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Di Code (Instr.			ecurities Acquired (A) or posed Of (D) (Instr. 3, 4 a			5. Amount Securities Beneficially Following I Transaction	y Owned Reported	Form	lirect (I) . 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
								Code	v	Amount (A) or P		Price	(Instr. 3 and 4)				(1150.4)		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Da if any (Month/Day/Y	te, T	Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(	e s Ily J	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				c	Code	v	(A)	(D)	Date Exercisab		Expiration Date	Title		Amount or Number of Shares		(Instr. 4)	0.1107		
Restricted Stock Units	<b>\$0.0</b> <sup>(1)</sup>	06/09/2022			Α		1,725		(2)		(2)	Cor	ass A nmon tock	1,725	\$0	1,725	5	D	

## Explanation of Responses:

1. Each restricted stock unit is the economic equivalent of one share of Class A Common Stock.

2. On June 9, 2022, the reporting person was awarded 1,725 restricted stock units. Each restricted stock unit represents the right to receive, following vesting, one share of Class A Common Stock. The 1,725 restricted stock units will vest on the last business day preceding the Company's 2023 annual meeting, provided that the reporting person remains in the continuous service of the Company through such date.

## Remarks:

/s/ Julio Pena, as Attorney-in-Fact 06/10/2022 for Samantha Holroyd

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

OMB APPROVAL